

FIG.1

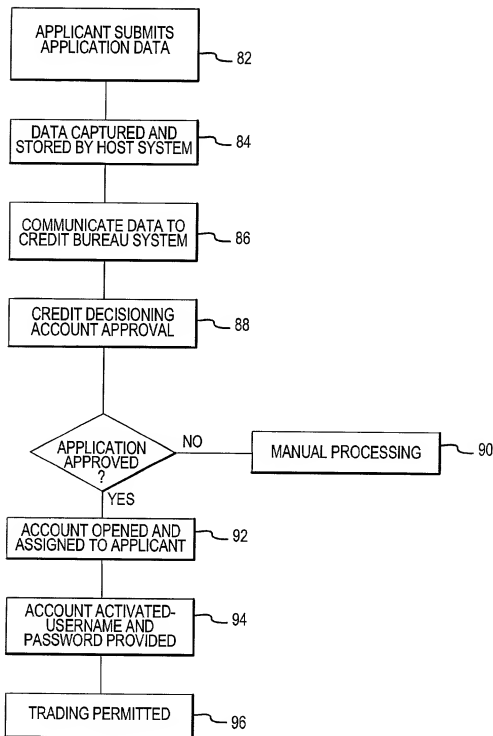


FIG.2

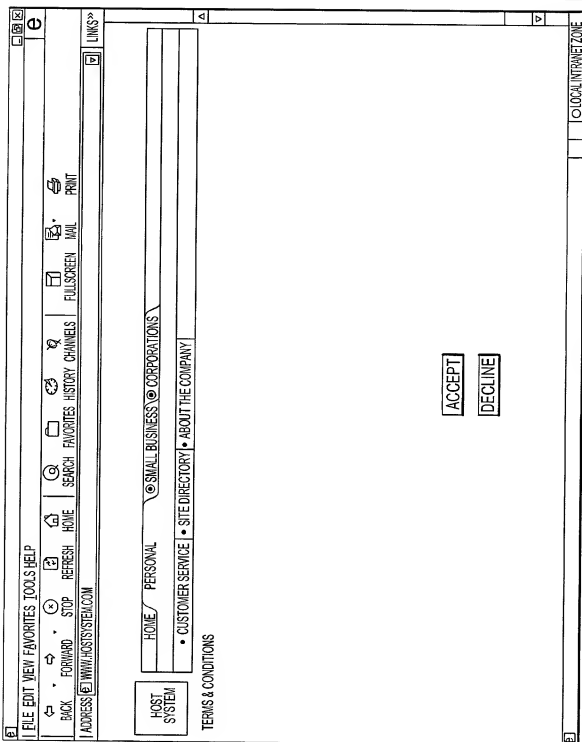


FIG.3

FILE EDIT VIEW FAVORITES TOOLS HELP			
BACK FORWARD STOP REFRESH HOME SEARCH HISTORY CHANNELS FULLSCREEN MAIL PRINT	ADDRESS WWW.HOSTSYSTEM.COM		
<div> <div>HOST SYSTEM</div> <div> <div>HOME PERSONAL</div> <div> <input checked="" type="radio"/> SMALL BUSINESS <input checked="" type="radio"/> CORPORATIONS </div> </div> <div> <div>CUSTOMER SERVICE</div> <div>SITE DIRECTORY</div> <div>ABOUT THE COMPANY</div> </div> </div>		LINS	
<p>CLIENT AGREEMENT</p> <p>BY PRESSING THE "ACCEPT" BUTTON, YOU ARE INDICATING THAT YOU HAVE READ THE CLIENT AGREEMENT AND ACCEPT THE TERMS OF THE ACCOUNT AS THEY ARE STATED THERE. IF YOU WOULD LIKE TO KEEP A COPY OF THIS AGREEMENT FOR YOUR RECORDS, PLEASE PRINT IT NOW FROM YOUR BROWSER.</p> <p> <input type="button" value="ACCEPT"/> <input type="button" value="DECLINE"/> </p> <p>CLIENT AGREEMENT</p> <p>CASH AGREEMENT</p> <p>1. GENERAL INFORMATION, IN CONSIDERATION OF YOUR ACCEPTING ANY BROKERAGE ACCOUNT, I AGREE TO THE FOLLOWING WITH RESPECT TO ANY OF MY ACCOUNTS WITH YOU AS USED IN THIS AGREEMENT. THE WORD "YOU" SHALL MEAN INTRODUCING BROKER, AS DENIED IN THE CLIENT APPLICATION AND/OR AMERICAN ENTERPRISE INVESTMENT SERVICES INC. ("CLEARING BROKER"). THIS AGREEMENT SHALL INCLUDE THE CASH AGREEMENT AND AS APPROPRIATE:</p> <ul style="list-style-type: none"> - MARGIN AGREEMENT - HOST SYSTEM GOLD CARD, CHECK-WRITING AND ATM/DEBIT CARD AGREEMENT - BILL PAYMENT SERVICE AGREEMENT - ELECTRONIC SERVICES AGREEMENT - ELECTRONIC FUND TRANSFER DISCLOSURE <p>THE ACCOUNT OFFERS INTEGRATED FINANCIAL SERVICES INCLUDING A BROKERAGE ACCOUNT AN INCOME EARNING ACCOUNT OR AN ELIGIBLE MONEY MARKET FUND AS SELECTED BY ME.</p>			
		LOCAL INTRANET ZONE	

FILE EDIT VIEW FAVORITES TOOLS HELP		ADDRESS <input type="text" value="WWW.HOSTSYSTEM.COM"/>		LINGS	
BACK	FORWARD	STOP	REFRESH	HOME	SEARCH
FAVORITES		HISTORY		CHANNELS	FULLSCREEN
PRINT		MAIL		EDIT	

HOST SYSTEM	HOME / PERSONAL	<input checked="" type="radio"/> SMALL BUSINESS	<input checked="" type="radio"/> CORPORATIONS
ACCOUNT REGISTRATION (PLEASE CHECK ONE)*		• CUSTOMER SERVICE • SITE DIRECTORY • ABOUT THE COMPANY	

PAGE 1 OF 9

THE FOLLOWING INFORMATION WILL BE USED TO SET UP YOUR ACCOUNT. PLEASE NOTE THAT ASTERISKS INDICATE REQUIRED INFORMATION.

ONLY INDIVIDUAL AND JOINT ACCOUNTS ARE AVAILABLE FOR INSTANT ACCOUNT OPENING. IF YOU WOULD LIKE TO OPEN ANOTHER TYPE OF ACCOUNT, PLEASE ACCESS ONE OF OUR "FULL-AND-PRINT" APPLICATIONS OR CALL TO REQUEST AN APPLICATION.

INDIVIDUAL

☐ JOINT (WITH RIGHTS OF SURVIVORSHIP)

☐ JOINT (TENANTS IN COMMON)

LOCAL INTRANET ZONE

FIG.5

FIG. 6

FILE EDIT VIEW FAVORITES TOOLS HELP		LINKS	
BACK FORWARD STOP REFRESH HOME SEARCH FAVORITES HISTORY CHANNELS FULLSCREEN MAIL PRINT EXIT			
ADDRESS: WWW.HOSTSYSTEM.COM			
HOME / PERSONAL <input checked="" type="radio"/> SMALL BUSINESS <input checked="" type="radio"/> CORPORATIONS			
• CUSTOMER SERVICE • SITE DIRECTORY • ABOUT THE COMPANY			
HOST SYSTEM			
FINANCIAL INFORMATION		PAGE 4 OF 9	
WE ARE REQUIRED BY INDUSTRY REGULATIONS TO AS FOR THIS INFORMATION.			
ANNUAL INCOME*		TOTAL NET WORTH*	
LIQUID NET WORTH*			
FEDERAL INCOME TAX BRACKET*			
INVESTMENT OBJECTIVE*		RISK TOLERANCE*	
<input type="radio"/> 15% <input type="radio"/> 28% <input type="radio"/> 33%		<input type="radio"/> CAPITAL PRESERVATION <input type="radio"/> CAPITAL APPRECIATION <input type="radio"/> INCOME <input type="radio"/> TAX CONSIDERATIONS <input type="radio"/> PROTECTION	
<input type="radio"/> CONSERVATIVE <input type="radio"/> CONSERVATIVE/MODERATE <input type="radio"/> MODERATE <input type="radio"/> MODERATE/AGGRESSIVE <input type="radio"/> AGGRESSIVE		<input type="radio"/> INVESTMENT EXPERIENCE <input type="radio"/> LITTLE <input type="radio"/> MODERATE <input type="radio"/> EXTENSIVE	
<input type="button" value="CONTINUE"/> <input type="button" value="CANCEL"/>			
		LOCAL INTRANET ZONE	

9/12

FILE EDIT VIEW FAVORITES TOOLS HELP		LINKS	
BACK FORWARD STOP REFRESH HOME SEARCH FAVORITES HISTORY CHANNELS FULLSCREEN MAIL PRINT EDIT			
ADDRESS: @WWW.HOSTSYSTEM.COM			
HOME / PERSONAL <input checked="" type="radio"/> SMALL BUSINESS <input checked="" type="radio"/> CORPORATIONS			
HOST SYSTEM • CUSTOMER SERVICE • SITE DIRECTORY • ABOUT THE COMPANY			
BROKERAGE ACCOUNT AUTHORIZATION			
PAGE 7 OF 9			
AFTER READING THE FOLLOWING AGREEMENT(S), PLEASE TYPE YOUR SIGNATURE BELOW BEFORE PRESSING CONTINUE. PLEASE PRINT THIS PAGE IF YOU HAVE ACCESS TO A PRINTER. YOU WILL NEED TO SEND THIS TO US WITH YOUR SIGNATURE. IF YOU DO NOT HAVE A PRINTER, WE WILL MAIL YOU A CERTIFICATION LETTER FOR YOUR SIGNATURE.			
MAILING ADDRESS:			
HOST SYSTEM			
SIGNATURES (ALL CLIENTS MUST COMPLETE BELOW)			
I AM SIGNING FOR ALL SELECTED ACCOUNT FEATURES IN THE ACCOUNT FEATURES SECTION OF THIS APPLICATION.			
I CONFIRM THAT I AM AT LEAST 18 YEARS OF AGE AND OF FULL LEGAL AGE IN MY STATE OF RESIDENCE.			
... AUTHORIZE			
<input type="checkbox"/> CHECK HERE IF YOU DO NOT AGREE TO HAVE A MARGIN ACCOUNT WITH HOST SYSTEM.			
		LOCAL INTRANET ZONE	

FIG. 9

10/12

FILE EDIT VIEW FAVORITES TOOLS HELP		LINKS	
BACK	FORWARD	STOP	REFRESH
HOME	SEARCH	FAVORITES	HISTORY CHANNELS
PULLSCREEN	MAIL	PRINT	EDIT
ADDRESS: WWW.HOSTSYSTEM.COM			
<div> <div>HOST SYSTEM</div> <div>HOME PERSONAL</div> <div> SMALL BUSINESS CORPORATIONS </div> </div>		<div> ABOUT THE COMPANY </div>	
YOU ARE ALMOST FINISHED WITH YOUR HOST SYSTEM BROKERAGE APPLICATION			
<p>PLEASE TAKE A MOMENT TO REVIEW YOUR APPLICATION FOR ACCURACY AND MAKE ANY CHANGES NECESSARY VIA THE EDIT BUTTON.</p> <p>BEFORE SUBMITTING YOUR APPLICATION, PLEASE PRINT THIS SUMMARY PAGE IF YOU HAVE ACCESS TO A PRINTER. YOU WILL NEED TO SEND THIS SUMMARY PAGE ALONG WITH THE BROKERAGE ACCOUNT AUTHORIZATION PAGES WITH YOUR SIGNATURE WITHIN 21 DAYS OF SUBMITTING YOUR APPLICATION.</p>			
PAGE 8 OF 9			
MAILING ADDRESS:			
HOST SYSTEM			
P.O. BOX			
ANYTOWN, USA			
ACCOUNT HOLDER INFORMATION			
ACCOUNT HOLDER INFORMATION			
FIRST NAME: JOE			
MIDDLE NAME:			
LAST NAME: SMITH			
HOME ADDRESS: 123 LONG STREET			
CITY: PHOENIX STATE: AZ ZIP: 12345			
BUSINESS PHONE:			
E-MAIL:			
MOTHER'S MAIDEN NAME: MON			
SOCIAL SECURITY NUMBER: 333-23-1212			
CITIZEN OF:			
US STATE OF TAX RESIDENCE: AR			
HOME PHONE: 602.111.2222			
DATE OF BIRTH: 11/11/1971			
DRIVER'S LICENSE NUMBER: STATE:			
		LOCAL INTRANET ZONE	

FIG.10

11/12

FILE EDIT VIEW FAVORITES TOOLS HELP		LINKS	
BACK	FORWARD	STOP	REFRESH
HOME	SEARCH	FAVORITES	HISTORY
CHANGES	FULL SCREEN	MAIL	PRINT
ADDRESS WWW.HOSTSYSTEM.COM			
<div> <div>HOME / PERSONAL</div> <div> SMALL BUSINESS CORPORATIONS </div> </div>			
<div> <div>HOST SYSTEM</div> <div> CUSTOMER SERVICE </div> </div>			
<div> SITE DIRECTORY </div>			
<div> ABOUT THE COMPANY </div>			
<p>YOU ARE NOW READY TO SUBMIT YOUR HOST SYSTEM BROKERAGE APPLICATION</p> <p>PAGE 9 OF 9</p> <p>PLEASE CLICK ON THE BUTTON BELOW TO SUBMIT YOUR APPLICATION FOR PROCESSING. YOUR APPLICATION WILL BE REVIEWED IMMEDIATELY. WHILE YOU WAIT, WE'D LIKE TO TAKE A MOMENT TO REVIEW SOME IMPORTANT INFORMATION WITH YOU.</p> <p>SUBMIT</p> <p>WE NEED YOUR SIGNATURE WITHIN 21 DAYS OF OPENING YOUR ACCOUNT. WE REQUIRE YOUR ORIGINAL SIGNATURE. PLEASE REMEMBER TO MAIL YOUR SUMMARY INFORMATION AND BROKERAGE ACCOUNT AUTHORIZATION PAGES (OR CERTIFICATION LETTER) TO:</p> <p>HOST SYSTEM U.S.A.</p>			
		LOCAL INTRANET ZONE	

FIG.11

12/12

FILE EDIT VIEW FAVORITES TOOLS HELP			
BACK FORWARD STOP REFRESH HOME SEARCH FAVORITES HISTORY CHANNELS FULLSCREEN MAIL PRINT EDIT		ADDRESS WWW.HOSTSYSTEM.COM LINKS	
<div> <div>HOST SYSTEM</div> <div> HOME / PERSONAL S/MALL BUSINESS CORPORATIONS </div> </div>		<div> CUSTOMER SERVICE SITE DIRECTORY ABOUT THE COMPANY </div>	
CONGRATULATIONS! YOU HAVE BEEN APPROVED FOR AN ACCOUNT AND INSTANT ONLINE TRADING WITH HOST SYSTEM BROKERAGE			
YOUR ACCOUNT NUMBER IS: <input type="text" value="167"/> YOUR USER NAME IS: JOESMO YOUR TEMPORARY PASSWORD IS: _____			
PLEASE WRITE THESE DOWN, REMEMBERING TO BE CASE SENSITIVE. YOU'LL NEED THESE IN JUST A MINUTE TO LOGIN TO YOUR NEW BROKERAGE ACCOUNT. YOU WILL BE PROMPTED TO CHANGE YOUR PASSWORD THEN.			
YOU WILL ALSO BE RECEIVING MORE INFORMATION IN THE MAIL FROM US REGARDING YOUR NEW HOST SYSTEM BROKERAGE ACCOUNT.			
IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT HOST SYSTEM BROKERAGE SERVICES AT 1-800-723-4567.			
PLEASE CONTINUE FOR FUNDING INSTRUCTIONS AND ADDITIONAL FORMS TO AID YOU IN SETTING UP YOUR ACCOUNT.			
<div>CONTINUE</div>		<div>LOCAL INTRANET ZONE</div>	

FIG.12